

Article 1: GENERAL PROVISIONS Preamble: The following Rules are hereby adopted by the Secretary of State by the authority granted him in Section 75-71-605, and other rule-making provisions contained in Sections 75-71-101 *et seq.*, Mississippi Code of 1972, as amended. Such Rules supersede all rules heretofore adopted, and from this date shall be generally applicable to the administration of the Mississippi Securities Act of 2010, and the procedure and practice of the Securities Division in accordance therewith. The adoption of these Rules represents a finding by the Secretary of the State that such Rules are necessary and appropriate for the public interest and for the protection of investors, and are consistent with the purposes fairly intended by the policy and provisions of the Mississippi Securities Act. These Rules are intended to supplement the statutory provisions of the Mississippi Securities Act and should not be considered as replacing or superseding any provisions concerning filings, registrations, applications, or any other requirement contained therein.

101. Address and Office Hours:

The Securities Division is located in the office of the Secretary of State, 700 North Street, Jackson, Mississippi 39202. The Division's mailing address is Post Office Box 136, Jackson, Mississippi 39205-0136, and is open each day, except Saturdays, Sundays, and State holidays, from 8 a.m. to 5 p.m., Central Standard Time or Central Daylight Time, whichever is in effect.

103. Definitions:

The following terms, as used in the Mississippi Securities Act or in these Rules, shall have the meaning ascribed to them below unless the context requires otherwise:

I. **Division** means the Securities Division of the Secretary of State.

111. Procedure with Respect to Abandoned Applications:

D. When the Division declares an application abandoned, all papers comprising the application, with the exception of the application form and correspondence, may be removed from the files of the Securities Division. No portion of the applicable filing fee shall be refunded.

Article 1: GENERAL PROVISIONS Preamble: The following Rules are hereby adopted by the Secretary of State by the authority granted him in Section 75-71-605, and other rule-making provisions contained in Sections 75-71-101 *et seq.*, Mississippi Code of 1972, as amended. Such Rules supersede all rules heretofore adopted, and from this date shall be generally applicable to the administration of the Mississippi Securities Act of 2010, and the procedure and practice of the Securities and ~~Charities~~ Division in accordance therewith. The adoption of these Rules represents a finding by the Secretary of the State that such Rules are necessary and appropriate for the public interest and for the protection of investors, and are consistent with the purposes fairly intended by the policy and provisions of the Mississippi Securities Act. These Rules are intended to supplement the statutory provisions of the Mississippi Securities Act and should not be considered as replacing or superseding any provisions concerning filings, registrations, applications, or any other requirement contained therein.

101. Address and Office Hours:

The Securities and ~~Charities~~ Division is located in the office of the Secretary of State, 700 North Street, Jackson, Mississippi 39202. The Division's mailing address is Post Office Box 136, Jackson, Mississippi 39205-0136, and is open each day, except Saturdays, Sundays, and State holidays, from 8 a.m. to 5 p.m., Central Standard Time or Central Daylight Time, whichever is in effect.

103. Definitions:

The following terms, as used in the Mississippi Securities Act or in these Rules, shall have the meaning ascribed to them below unless the context requires otherwise:

I. **Division** means the Securities ~~and Charities~~ Division of the Secretary of State.

111. Procedure with Respect to Abandoned Applications:

D. When the Division declares an application abandoned, all papers comprising the application, with the exception of the application form and correspondence, may be removed from the files of the Securities ~~and Charities~~ Division. No portion of the applicable filing fee shall be refunded.